

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

032604

21861 U.S. PTO

## UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))*

Attorney Docket No.

3525.1002-001

First Named Inventor or  
Application Identifier

Nesbitt Ward Hagood, IV

Express Mail Label No.

EV 214950156 US

Title of  
Invention

SYSTEM FOR OPTIMAL ENERGY HARVESTING AND STORAGE FROM AN  
ELECTROMECHANICAL TRANSDUCER

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☐ Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2. ☒ Specification Total Pages [23]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets [7]  

☐ Fig. of the Drawings for Publication
☐

☐ No Figure to be Published
4. ☐ Oath or Declaration Total Pages [ ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))  
*(for continuation/divisional with Box 17 completed)*
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a. ☐ Computer Readable Form
  - b. ☐ Paper Copy (identical to computer copy)  
[ ] Pages
  - c. ☐ Statements verifying identity of above copies

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10/811311

### ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & documents)  
☒ Assignee - Continuum Photonics, Inc.  
Billerica, Massachusetts
8. ☐ Power of Attorney ☐ 37 C.F.R. 3.73(b) Statement
9. ☐ English Translation Document *(if applicable)*
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard
13. ☐ Small Entity Statement(s)
- 14a. ☐ Foreign Priority Claim under 35 U.S.C. §119 or 365
- 14b. ☐ Certified Copy of Priority Document(s)
15. ☒ Nonpublication Request *(check parent application)*
16. ☐ Other \_\_\_\_\_

17. If a **CONTINUING APPLICATION**, check appropriate box; supply the requisite information.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner:

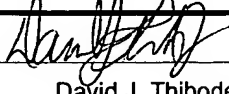
Group Art Unit:

**The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.**

*(Add standard Related Applications section with incorporation by reference to specification or update same)*

### 18. CORRESPONDENCE ADDRESS

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Signature		Date	26 March 2004
Submitted by Typed or Printed Name	David J. Thibodeau, Jr.	Reg. Number	31,671

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

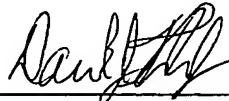
<b>NONPUBLICATION REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Nesbitt Ward Hagood, IV
	Attorney Docket No.	3525.1002-001
	Express Mail Label No.	EV 214950156 US
Title of Invention	SYSTEM FOR OPTIMAL ENERGY HARVESTING AND STORAGE FROM AN ELECTROMECHANICAL TRANSDUCER	

Pursuant to 37 C.F.R. § 1.213(a)(3), I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

The undersigned is an attorney/agent for applicant.

26 MARCH 2004

Date



David J. Thibodeau, Jr.  
Registration No. 31,671  
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Facsimile No. (978) 341-0136

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**